

CONTRACT REQUESTS FORM (CRF)

CEC-94 (Revised 5/11)

CALIFORNIA ENERGY COMMISSION


☒ New Contract _____ ☐ Amendment to Existing Contract: _____ Amendment Number: _____

Division	Contract Manager:	MS-	Phone	CM Training Date
Energy Research and Development	John Hingtgen	47	916-327-1434	12/6/2005

Contractor's Legal Name	Federal ID Number
Satcon Technology Corporation	04-2857552

Title of Project
Grid-interactive photovoltaic system with DC-link battery storage integration

Term	Start Date	End Date	Amount
New/Original Contract	6/30/2011	6/30/2014	\$ 1,972,211

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount

Business Meeting Information

Proposed Business Meeting Date	6/20/2011	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	John Hingtgen	Time Needed:	5 minutes

Agenda Item Subject and Description

Possible approval of Contract 500-10-062 for \$1,972,211.00 with Satcon Technology Corp to demonstrate high bandwidth automatic voltage control and develop electric energy storage components and a system designed and optimized for grid-tied photovoltaic applications. (PIER electric funding.) Contact: John Hingtgen (5 minutes)

Business Meeting approval is not required for the following types of contracts: *Executive Director's signature is required in all cases.*

- ☐ Contracts less than \$10k (*Policy Committee's signature is also required*)
- ☐ Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
- ☐ Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

Purpose of Contract or Purpose of Amendment, if applicable

The goal of this project is to demonstrate how high bandwidth automatic voltage control can be applied to mitigate intermittency in power plant output and load induced voltage variations on the utility feeder. It also aims to develop electric energy storage components and a system specifically designed and optimized for grid-tied PV applications. To achieve these goals, the project will demonstrate a hybrid electric generation system comprised of a 500 kW PV array, 500 kW grid-connected PV inverter, and integration of 500 kW state-of-the-art battery technology, to further enable utility-scale renewable energy sources. The 500 kW system is a standard building block for multi-megawatt configurations and scalable to target a utility scale plant of 10 MW. The combined inverter and battery storage system will help mitigate the destabilizing effects of intermittency, thereby supplementing the load demand and limiting output power ramp rates to levels that are compatible with other utility generation resources. The demonstration of advanced inverter and integrated solar PV storage system will be part of the Sacramento Municipal Utility District's solar highway project.

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**California Environmental Quality Act (CEQA) Compliance**

1. Is Contract considered a "Project" under CEQA?

☒ Yes: skip to question 2☐ No: complete the following (PRC 21065 and 14 CCR 15378):

Explain why contract is not considered a "Project":

2. If contract is considered a "Project" under CEQA:

☐ a) Contract **IS** exempt. (Draft NOE required)☐ Statutory Exemption. List PRC and/or CCR section number: _____☐ Categorical Exemption. List CCR section number: _____☐ Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why contract is exempt under the above section:

☒ b) Contract **IS NOT** exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.**Budgets Information**

Contract Amount Funded		Breakdown by FY			Funding Sources			
Funding Source	Amount	FY	Amount	Approved?	Funding Source	FY	Budget List No.	Amount
ARFVTF	\$	10-11	\$1,972,211	Yes	PIER-E	10-11	501.0271	\$1,972,211
ECAA	\$		\$					\$
State- ERPA	\$		\$					\$
Federal	\$		\$					\$
PIER - E	\$1,972,211		\$					\$
PIER - NG	\$		\$					\$
Reimbursement	\$		\$					\$
Other	\$		\$					\$
TOTAL:	\$1,972,211	TOTAL:	\$1,972,211		TOTAL:			\$1,972,211
Reimbursement Contract #:					Federal Agreement			

Contractor's Administrator/ Officer

Name:	Erin Corcoran	Name:	Mathew Kromer
Address:	27 Drydock Ave	Address:	27 Drydock Ave
City, State, Zip:	Boston, MA 02210-2377	City, State, Zip:	Boston, MA 02210-2377
Phone/ Fax:	/	Phone/ Fax:	/
E-Mail:		E-Mail:	

Contractor Is☒ Private Company (including non-profits)☐ CA State Agency (including UC and CSU)☐ Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)**Selection Process Used**☒ Solicitation Select Type Solicitation #: 500-10-503 # of Bids: _____ Low Bid? ☐ No ☐ Yes☐ Non Competitive Bid (Attach CEC 96)☐ Exempt Select Exemption**Civil Service Considerations**☐ Not Applicable (Contract is with a CA State Entity or a membership/co-sponsorship)☒ Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)

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- ☐ The Services Contracted:
- ☐ are not available within civil service
 - ☐ cannot be performed satisfactorily by civil service employees
 - ☐ are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.
- ☐ The Services are of such an:
- ☐ urgent
 - ☐ temporary, or
 - ☐ occasional nature
- that the delay to implement under civil service would frustrate their very purpose.

Justification:**Payment Method**

- ☒ A. Reimbursement in arrears based on:
- ☐ Itemized Monthly
 - ☒ Itemized Quarterly
 - ☐ Flat Rate
 - ☐ One-time
- ☐ B. Advanced Payment
- ☐ C. Other, explain:

Retention

1. Is contract subject to retention? ☐ No ☒ Yes
- If Yes, Do you plan to release retention prior to contract termination? ☒ No ☐ Yes

Justification of Rates

Rates for contractor and subcontractors are justified as follows:

SatCon: Actual hourly rates, referenced to pay stubs. See attachment for audited rates for 2010 (this is the most recent version). Note that they have bid a lower G&A rate for this project.

SMUD: SMUD is considered a local government agency as defined in the California Municipal Utility District Act. As such, direct labor rates are approved by their duly elected Board of Directors. Those rates are what was included in the proposal. Referenced to attachment for audited rates.

A123: Blended average rates for budgeting purposes, actual rates will be used when billing the project. See the attachment letter for most recent DCAA audited rates. These are the same percentages that were budgeted for in the program. However, A123 is not charging G&A or indirect overhead for this project.

RES: Refer to attachment of rate sheet. No government audited indirect rates.

Rates will not exceed ceiling rates as listed in the Budget, Workbook, Exhibit B-3.

Disabled Veteran Business Enterprise Program (DVBE)

1. ☐ Not Applicable
2. ☒ Meets DVBE Requirements DVBE Amount:\$ \$93,063 DVBE %: 4
- ☐ Contractor is Certified DVBE
 - ☒ Contractor is Subcontracting with a DVBE: Renewable Energy Services, Inc.
3. ☐ Requesting DVBE Exemption (attach CEC 95)

Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?

- If yes, check appropriate box: ☐ SB ☐ MB ☐ DVBE

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Is Contractor subcontracting any services?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE:		
National Renewable Energy Laboratory	<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
TBD multiple consultants for demonstration plant operations and	<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
Subcontractor for 50 Ton Crane	<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
Sacramento Municipal Utility District	<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
A123 Systems, Inc.	<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
Renewable Energy Services, Inc.	<input type="checkbox"/> No	<input checked="" type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE

Miscellaneous Contract Information		
1. Will there be Work Authorizations?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
2. Is the Contractor providing confidential information?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
3. Is the contractor going to purchase equipment?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
4. Check frequency of progress reports <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> _____		
5. Will a final report be required?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
6. Is the contract, with amendments, longer than a year? If yes, why?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs.		

The following items should be attached to this CRF		
1. Scope of Work, Attach as Exhibit A.	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Budget Detail, Attach as Exhibit B.	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
3. CEC 96, NCB Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 30, Survey of Prior Work	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEC 95, DVBE Exemption Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Draft CEQA Notice of Exemption (NOE)	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
7. Resumes	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
8. CEC 105, Questionnaire for Identifying Conflicts		<input type="checkbox"/> Attached
9. CEC 106, IT Component Reporting Form		<input type="checkbox"/> Attached

Contract Manager _____	Date _____	Office Manager _____	Date _____	Deputy Director _____	Date _____
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The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting.
See Business Meeting Information Section.

Presiding Policy Committee _____	Date _____	Associate Policy Committee _____	Date _____	Executive Director _____	Date _____
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